

FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE (REV. 7-2005)		ATTORNEY'S DOCKET NUMBER 2488.031
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/560727
INTERNATIONAL APPLICATION NO. PCT/IL2004/000544	INTERNATIONAL FILING DATE 18 June 2004	PRIORITY DATE CLAIMED 19 June 2003
TITLE OF INVENTION ANTIMICROBIAL AND ANTICANCER LIPOPEPTIDES		
APPLICANT(S) FOR DO/EO/US Shai et al		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). 11. <input type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409). 12. <input checked="" type="checkbox"/> A copy of the International Search Report (PCT/ISA/210). <p>Items 13 to 23 below concern document(s) or information included:</p> <ol style="list-style-type: none"> 13. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 14. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 15. <input checked="" type="checkbox"/> A FIRST preliminary amendment. 16. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 17. <input type="checkbox"/> A substitute specification. 18. <input checked="" type="checkbox"/> A power of attorney and/or change of address letter. 19. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 20. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4). 21. <input type="checkbox"/> A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). 22. <input checked="" type="checkbox"/> Express Mail Label No. EV 748030354 US 		

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known, see 37 CFR 1.55) <div style="font-size: 24pt; font-weight: bold; text-align: center;">10/560727</div>	INTERNATIONAL APPLICATION NO. <div style="font-weight: bold; text-align: center;">PCT/IL2004/000544</div>	ATTORNEY'S DOCKET NUMBER <div style="font-weight: bold; text-align: center;">2488.031</div>																																				
23. Other items or information:																																						
The following fees have been submitted: 24. <input checked="" type="checkbox"/> Basic national fee \$300		<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 50%;">CALCULATIONS</th> <th style="width: 50%;">PTO USE</th> </tr> </thead> <tbody> <tr> <td style="text-align: right;">\$ 300.00</td> <td></td> </tr> <tr> <td style="text-align: right;">\$ 200.00</td> <td></td> </tr> <tr> <td style="text-align: right;">\$ 400.00</td> <td></td> </tr> <tr> <td style="text-align: right;">\$ 900.00</td> <td></td> </tr> <tr> <td style="text-align: right;">\$ 0.00</td> <td></td> </tr> <tr> <td style="text-align: right;">\$ 1,750.00</td> <td></td> </tr> <tr> <td style="text-align: right;">\$ 400.00</td> <td></td> </tr> <tr> <td style="text-align: right;">\$ 0.00</td> <td></td> </tr> <tr> <td style="text-align: right;">\$ 3,050.00</td> <td></td> </tr> <tr> <td style="text-align: right;">\$ 1,525.00</td> <td></td> </tr> <tr> <td style="text-align: right;">\$ 0.00</td> <td></td> </tr> <tr> <td style="text-align: right;">\$ 1,525.00</td> <td></td> </tr> <tr> <td style="text-align: right;">\$ 0.00</td> <td></td> </tr> <tr> <td style="text-align: right;">\$ 0.00</td> <td></td> </tr> <tr> <td style="text-align: right;">\$ 1,525.00</td> <td></td> </tr> <tr> <td style="text-align: right;">\$ 0.00</td> <td></td> </tr> <tr> <td style="text-align: right;">\$ 1,525.00</td> <td></td> </tr> </tbody> </table>	CALCULATIONS	PTO USE	\$ 300.00		\$ 200.00		\$ 400.00		\$ 900.00		\$ 0.00		\$ 1,750.00		\$ 400.00		\$ 0.00		\$ 3,050.00		\$ 1,525.00		\$ 0.00		\$ 1,525.00		\$ 0.00		\$ 0.00		\$ 1,525.00		\$ 0.00		\$ 1,525.00	
CALCULATIONS	PTO USE																																					
\$ 300.00																																						
\$ 200.00																																						
\$ 400.00																																						
\$ 900.00																																						
\$ 0.00																																						
\$ 1,750.00																																						
\$ 400.00																																						
\$ 0.00																																						
\$ 3,050.00																																						
\$ 1,525.00																																						
\$ 0.00																																						
\$ 1,525.00																																						
\$ 0.00																																						
\$ 0.00																																						
\$ 1,525.00																																						
\$ 0.00																																						
\$ 1,525.00																																						
25. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article prepared by IPEA/US \$0 All other situations \$200																																						
26. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) ... \$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the as an International Searching Authority \$100 International Search Report prepared by an ISA other than the US and provided to the previously communicated to the US by the IB \$400 All other situations \$500																																						
TOTAL OF 24, 25 and 26 =		\$ 900.00																																				
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(i)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.																																						
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole)																																				
- 100 =	0 /50 =	0																																				
		x \$250.00																																				
		\$ 0.00																																				
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).		\$																																				
CLAIMS	NUMBER FILED	NUMBER EXTRA																																				
Total claims	55 - 20 =	35																																				
Independent claims	5 - 3 =	2																																				
		x \$200.00																																				
		\$ 400.00																																				
MULTIPLE DEPENDENT CLAIMS (if applicable) <input type="checkbox"/> + \$360.00		\$ 0.00																																				
TOTAL OF ABOVE CALCULATIONS =		\$ 3,050.00																																				
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.		\$ 1,525.00																																				
SUBTOTAL =		\$ 1,525.00																																				
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).		\$ 0.00																																				
TOTAL NATIONAL FEE =		\$ 1,525.00																																				
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +		\$ 0.00																																				
TOTAL FEES ENCLOSED =		\$ 1,525.00																																				
		Amount to be \$																																				
		Amount to be \$																																				

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

- a. ☒ A check in the amount of \$ \$1,525.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 08-1935. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

Jeff Rothenberg, Reg. No. 26,429
Heslin Rothenberg Farley & Mesiti P.C.
5 Columbia Circle
Albany, NY 12203
Tel: 518-452-5600
Fax: 518-452-5579
E-mail: jr@hrfmlaw.com

Jeff Rothenberg
SIGNATURE

Jeff Rothenberg

NAME

26,429

REGISTRATION NUMBER

Dec 14, 2005

DATE

10/560727

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Shai et al.

AP9 Rec'd PCT/PTO No.

2488.031

14 DEC 2005

Application No. Unknown	Filing Date Herewith	Examiner Unknown	Customer No. 23405	Group Art Unit Unknown
----------------------------	-------------------------	---------------------	-----------------------	---------------------------

Invention: ANTIMICROBIAL AND ANTICANCER LIPOPEPTIDES

I hereby certify that the following correspondence:

U.S. utility patent application under 35 U.S.C. 371

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

December 14, 2005

(Date)

Patricia A. Craig

(Typed or Printed Name of Person Mailing Correspondence)

Patricia A. Craig

(Signature of Person Mailing Correspondence)

EV 748030354 US

("Express Mail" Mailing Label Number)

Note: Each paper must have its own certificate of mailing.